

**Note: Financial West Group sends certain equity orders to market centers during normal business hours. Certain market centers may provide payment to Financial West Group depending upon the characteristics.**

**KWA**  
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**SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2010**

**Securities Listed on New York Stock Exchange**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	72.45%
Limit orders as percentage of total non-directed orders	20.17%
Other orders as percentage of total non-directed orders	7.38%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	30.76%
2. National Financial Services, L.L.C.	22.54%
3. UBS Securities, L.L.C.	18.17%
4. Citadel Derivatives Group LLC	14.77%
5. Citigroup Global Markets, Inc.	5.88%
6. Seaboard Securities, Inc.	2.58%
7. Direct Edge ECN L.L.C.	2.40%
8. BATS Exchange, Inc.	1.09%
9. Goldman, Sachs & Co.	0.46%
10. Financial West Group	0.43%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	28.95%
Limit orders as percentage of total limit orders	26.43%
Other orders as percentage of total other orders	60.39%

2. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.09%
Limit orders as percentage of total limit orders	25.24%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.03%
Limit orders as percentage of total limit orders	12.95%
Other orders as percentage of total other orders	24.03%

4. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.06%
Limit orders as percentage of total limit orders	9.38%
Other orders as percentage of total other orders	6.98%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.28%
Limit orders as percentage of total limit orders	3.44%
Other orders as percentage of total other orders	8.60%

6. Seaboard Securities, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.74%
Limit orders as percentage of total limit orders	2.91%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.88%
Other orders as percentage of total other orders	0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.40%
Other orders as percentage of total other orders	0.00%

9. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.58%
Limit orders as percentage of total limit orders	0.18%
Other orders as percentage of total other orders	0.00%

10. Financial West Group

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.60%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	68.74%
Limit orders as percentage of total non-directed orders	21.40%
Other orders as percentage of total non-directed orders	9.86%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. UBS Securities, L.L.C.	27.91%
2. Knight Equity Markets, L.P.	22.94%
3. Citadel Derivatives Group LLC	18.30%
4. National Financial Services, L.L.C.	15.29%
5. Citigroup Global Markets, Inc.	6.74%
6. Financial West Group	2.35%
7. Direct Edge ECN L.L.C.	1.93%
8. Seaboard Securities, Inc.	1.72%
9. BATS Exchange, Inc.	1.30%
10. Goldman, Sachs & Co.	0.76%

### Information Concerning Significant Venues :

#### 1. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.56%
Limit orders as percentage of total limit orders	18.13%
Other orders as percentage of total other orders	51.57%

#### 2. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.56%
Limit orders as percentage of total limit orders	19.88%
Other orders as percentage of total other orders	32.29%

#### 3. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.10%
Limit orders as percentage of total limit orders	10.39%
Other orders as percentage of total other orders	1.98%

#### 4. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.23%
Limit orders as percentage of total limit orders	28.98%
Other orders as percentage of total other orders	0.00%

#### 5. Citigroup Global Markets, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.57%
Limit orders as percentage of total limit orders	3.87%
Other orders as percentage of total other orders	14.17%

6. Financial West Group

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.42%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.03%
Other orders as percentage of total other orders	0.00%

8. Seaboard Securities, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.98%
Limit orders as percentage of total limit orders	1.67%
Other orders as percentage of total other orders	0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.05%
Limit orders as percentage of total limit orders	5.92%
Other orders as percentage of total other orders	0.00%

10. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.02%
Limit orders as percentage of total limit orders	0.30%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	68.25%
Limit orders as percentage of total non-directed orders	24.46%
Other orders as percentage of total non-directed orders	7.29%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	29.10%
2. National Financial Services, L.L.C.	24.05%
3. UBS Securities, L.L.C.	20.43%
4. Citadel Derivatives Group LLC	14.13%
5. Citigroup Global Markets, Inc.	3.26%
6. Direct Edge ECN L.L.C.	2.46%
7. Financial West Group	2.33%
8. BATS Exchange, Inc.	1.70%
9. Goldman, Sachs & Co.	0.84%
10. NYSE Arca	0.60%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.39%
Limit orders as percentage of total limit orders	35.27%
Other orders as percentage of total other orders	61.83%

#### 2. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.70%
Limit orders as percentage of total limit orders	26.63%
Other orders as percentage of total other orders	0.00%

#### 3. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.65%
Limit orders as percentage of total limit orders	6.35%
Other orders as percentage of total other orders	28.11%

#### 4. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.35%
Limit orders as percentage of total limit orders	6.70%
Other orders as percentage of total other orders	8.88%

#### 5. Citigroup Global Markets, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.13%
Limit orders as percentage of total limit orders	4.23%
Other orders as percentage of total other orders	1.18%

6. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	9.96%
Other orders as percentage of total other orders	0.00%

7. Financial West Group

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.41%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	6.88%
Other orders as percentage of total other orders	0.00%

9. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.60%
Limit orders as percentage of total limit orders	1.76%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.47%
Limit orders as percentage of total limit orders	1.15%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	37.54%
Limit orders as percentage of total non-directed orders	62.43%
Other orders as percentage of total non-directed orders	0.03%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Stock Exchange	47.13%
2. NYSE Amex Options	13.94%
3. NYSE Arca Options	13.68%
4. NASDAQ OMX PHLX	9.90%
5. The International Securities Exchange	9.64%
6. The Boston Option Exchange	3.13%
7. Other	1.51%
8. Nasdaq Execution Services, L.L.C.	0.97%
9. BATS Options Exchange, Inc.	0.11%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Stock Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.86%
Limit orders as percentage of total limit orders	49.07%
Other orders as percentage of total other orders	100.00%

#### 2. NYSE Amex Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.61%
Limit orders as percentage of total limit orders	9.93%
Other orders as percentage of total other orders	0.00%

#### 3. NYSE Arca Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.59%
Limit orders as percentage of total limit orders	17.95%
Other orders as percentage of total other orders	0.00%

#### 4. NASDAQ OMX PHLX

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.14%
Limit orders as percentage of total limit orders	12.16%
Other orders as percentage of total other orders	0.00%

#### 5. The International Securities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.64%
Limit orders as percentage of total limit orders	7.24%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.59%
Limit orders as percentage of total limit orders	1.05%
Other orders as percentage of total other orders	0.00%

7. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.53%
Limit orders as percentage of total limit orders	2.10%
Other orders as percentage of total other orders	0.00%

8. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.97%
Limit orders as percentage of total limit orders	0.36%
Other orders as percentage of total other orders	0.00%

9. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.08%
Limit orders as percentage of total limit orders	0.14%
Other orders as percentage of total other orders	0.00%