

**Note: Financial West Group sends certain equity orders to market centers during normal business hours. Certain market centers may provide payment to Financial West Group depending upon the characteristics.**

**KWA  
FINANCIAL WEST GROUP  
4510 E. THOUSAND OAKS BOULEVARD  
WESTLAKE VILLAGE, CA 91362**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2008**

**Securities Listed on NYSE Euronext**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	70.96%
Limit orders as percentage of total non-directed orders	21.04%
Other orders as percentage of total non-directed orders	8.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	92.38%
2. Park Financial Group, Inc.	2.70%
3. NYSE Euronext	1.69%
4. Automated Trading Desk Financial Services, L.L.C.	1.26%
5. Citadel Derivatives Group, L.L.C.	0.83%
6. Madoff (Bernard L.) Investment Securities, L.L.C.	0.73%
7. UBS Securities, L.L.C.	0.20%
8. Financial West Group	0.11%
9. National Financial Services L.L.C.	0.08%
10. QUN	0.01%

**Information Concerning Significant Venues :**

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	93.44%
Limit orders as percentage of total limit orders	87.43%
Other orders as percentage of total other orders	95.98%

2. Park Financial Group, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.33%
Limit orders as percentage of total limit orders	8.38%
Other orders as percentage of total other orders	0.00%

3. NYSE Euronext		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.59%
	Limit orders as percentage of total limit orders	1.70%
	Other orders as percentage of total other orders	2.53%
4. Automated Trading Desk Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.41%
	Limit orders as percentage of total limit orders	0.74%
	Other orders as percentage of total other orders	1.34%
5. Citadel Derivatives Group, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.81%
	Limit orders as percentage of total limit orders	1.19%
	Other orders as percentage of total other orders	0.15%
6. Madoff (Bernard L.) Investment Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.91%
	Limit orders as percentage of total limit orders	0.40%
	Other orders as percentage of total other orders	0.00%
7. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.25%
	Limit orders as percentage of total limit orders	0.11%
	Other orders as percentage of total other orders	0.00%
8. Financial West Group		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.15%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. National Financial Services L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.10%
	Limit orders as percentage of total limit orders	0.06%
	Other orders as percentage of total other orders	0.00%
10. QUN		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.02%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	65.35%
Limit orders as percentage of total non-directed orders	24.86%
Other orders as percentage of total non-directed orders	9.78%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	30.36%
2. Citadel Derivatives Group, L.L.C.	19.43%
3. UBS Securities, L.L.C.	18.16%
4. Automated Trading Desk Financial Services, L.L.C.	14.90%
5. Madoff (Bernard L.) Investment Securities, L.L.C.	9.20%
6. Park Financial Group, Inc.	3.50%
7. Lehman Brothers, Inc.	1.83%
8. National Financial Services L.L.C.	1.11%
9. Financial West Group	0.79%
10. Citigroup Global Markets, Inc.	0.36%

### Information Concerning Significant Venues :

#### 1. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.25%
Limit orders as percentage of total limit orders	29.64%
Other orders as percentage of total other orders	73.05%

#### 2. Citadel Derivatives Group, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	24.21%
Limit orders as percentage of total limit orders	13.04%
Other orders as percentage of total other orders	3.70%

#### 3. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.87%
Limit orders as percentage of total limit orders	26.32%
Other orders as percentage of total other orders	12.76%

#### 4. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.47%
Limit orders as percentage of total limit orders	10.12%
Other orders as percentage of total other orders	9.88%

#### 5. Madoff (Bernard L.) Investment Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	12.42%
Limit orders as percentage of total limit orders	4.13%
Other orders as percentage of total other orders	0.62%

6. Park Financial Group, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.45%
Limit orders as percentage of total limit orders	10.28%
Other orders as percentage of total other orders	0.00%

7. Lehman Brothers, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.55%
Limit orders as percentage of total limit orders	5.91%
Other orders as percentage of total other orders	0.00%

8. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.60%
Limit orders as percentage of total limit orders	0.24%
Other orders as percentage of total other orders	0.00%

9. Financial West Group

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.49%
Limit orders as percentage of total limit orders	0.16%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The American Stock Exchange or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	61.67%
Limit orders as percentage of total non-directed orders	29.92%
Other orders as percentage of total non-directed orders	8.42%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	84.04%
2. Archipelago Trading Services, Inc.	7.85%
3. Automated Trading Desk Financial Services, L.L.C.	3.05%
4. Citadel Derivatives Group, L.L.C.	1.48%
5. Park Financial Group, Inc.	1.31%
6. American Stock Exchange	0.61%
7. UBS Securities, L.L.C.	0.61%
8. Financial West Group	0.52%
9. NYSE ARCA	0.17%
10. Knight Equity Markets, L.P.	0.13%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	81.82%
Limit orders as percentage of total limit orders	84.84%
Other orders as percentage of total other orders	97.41%

#### 2. Archipelago Trading Services, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.60%
Limit orders as percentage of total limit orders	2.33%
Other orders as percentage of total other orders	0.00%

#### 3. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.48%
Limit orders as percentage of total limit orders	4.52%
Other orders as percentage of total other orders	2.07%

#### 4. Citadel Derivatives Group, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.57%
Limit orders as percentage of total limit orders	3.79%
Other orders as percentage of total other orders	0.00%

#### 5. Park Financial Group, Inc.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.06%
Limit orders as percentage of total limit orders	2.19%
Other orders as percentage of total other orders	0.00%

6. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.78%
Limit orders as percentage of total limit orders	0.29%
Other orders as percentage of total other orders	0.52%

7. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.57%
Limit orders as percentage of total limit orders	0.87%
Other orders as percentage of total other orders	0.00%

8. Financial West Group

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.85%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE ARCA

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.58%
Other orders as percentage of total other orders	0.00%

10. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.44%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	28.13%
Limit orders as percentage of total non-directed orders	71.84%
Other orders as percentage of total non-directed orders	0.04%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	40.41%
2. The Philadelphia Stock Exchange	25.65%
3. The International Securitates Exchange	16.41%
4. NYSE ARCA	9.46%
5. The Boston Option Exchange	4.21%
6. American Stock Exchange	3.60%
7. National Financial Services L.L.C.	0.26%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.37%
Limit orders as percentage of total limit orders	46.31%
Other orders as percentage of total other orders	0.00%

#### 2. The Philadelphia Stock Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	32.18%
Limit orders as percentage of total limit orders	23.11%
Other orders as percentage of total other orders	0.00%

#### 3. The International Securitates Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.29%
Limit orders as percentage of total limit orders	17.25%
Other orders as percentage of total other orders	0.00%

#### 4. NYSE ARCA

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.41%
Limit orders as percentage of total limit orders	9.04%
Other orders as percentage of total other orders	100.00%

#### 5. The Boston Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.28%
Limit orders as percentage of total limit orders	2.61%
Other orders as percentage of total other orders	0.00%

6. American Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.48%
Limit orders as percentage of total limit orders	1.31%
Other orders as percentage of total other orders	0.00%

7. National Financial Services L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.37%
Other orders as percentage of total other orders	0.00%